



**SECTION 3 - INVESTMENT INFORMATION**

**THE APPLICANT APPLIES FOR UNITS IN THE FOLLOWING TRUST(S):** (collectively "Trusts" with each one individually being a "Trust")

Please tick the applicable box for class of units and currency

NAME OF TRUST AND CLASS OF UNITS	SSAL FUND CODE (for investing)	AMOUNT TO BE INVESTED
<b>Legg Mason Brandywine Global Fixed Income Trust</b>	ATYA	<input type="radio"/> AUD <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="radio"/> NZD <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="radio"/> Class A units <input type="radio"/> Class X units		
<b>Legg Mason Brandywine Global Opportunistic Fixed Income Trust</b>	ATYB	<input type="radio"/> AUD <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="radio"/> NZD <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>
<input type="radio"/> Class A units <input type="radio"/> Class X units		

**In applying for units in any of the Trusts, the Applicant acknowledges and agrees that:**

- they have received the latest copy of the relevant PDS (available at [www.leggmason.com.au](http://www.leggmason.com.au)) to which this "Standing Application For Units NZD" relates in Australia and that this application is subject to the terms of that PDS;
- they will not provide to another person by electronic means an incomplete or altered copy of either the PDS or the "Standing Application For Units NZD";
- this application is subject to the provisions of the Constitution of the relevant Trust registered with the Australian Securities & Investments Commission;
- the Applicant is more than 18 years of age;
- in making a decision to invest in the relevant Trust they rely solely on the information and representations contained in the relevant PDS;
- if they do not indicate which class of units they intend to acquire, they will be issued with Class A units or, where only one class of units is offered, that class of units;
- if units are issued for which payment has not been received, the Applicant's units will become void and the Applicant agrees to indemnify Legg Mason and the Trust all reasonable costs, expenses and/or losses in such circumstances;
- where units become void because payment has not been received, the Applicant is treated as never having been issued those units;
- they have supplied accurate and correct information in response to and in support of this application and the Applicant has the power to invest in the Trust;
- they will indemnify Legg Mason on demand for any loss suffered by Legg Mason as a result of relying on incorrect information provided by the Applicant;
- if the Applicant provides instructions by facsimile, they confirm that they have read, understood and agree to the terms and conditions set out under "Sending Instructions by Facsimile" in the "How to Transact" section of the relevant PDS; and
- Legg Mason and the Custodian of the Trust may request, in future, additional information from the Applicant to verify the identity of the Applicant and any underlying beneficial owner of units in the Trust and the source of any payment and that, where Legg Mason or the Custodian of the Trust requests such information from the Applicant, processing of applications or withdrawals may be delayed or suspended until the requested information in a form satisfactory to Legg Mason and/or the Custodian (as the case may be) is provided by the Applicant.

**IDENTIFICATION INFORMATION REQUIREMENTS UPON APPLICATION**

The Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (the "AML/CTF Act") requires us to verify the identity of unitholders when they apply for units in the NZD Trust(s).

Unitholders that are individuals will need to include certified copies of certain documents (such as a drivers licence or passport) with an initial application request. Unitholders that are non-individuals (e.g. company, trust, partnership, Australian financial services licensee etc.) will also have to provide supporting documentation. Please contact Legg Mason on 1800 679 541 for information on the types of documents that will satisfy these requirements.

If you do not provide identifying documents we will not be able to process an initial application request.

The AML/CTF Act also imposes reporting obligations on us if we form suspicions about the identity of someone we are transacting with, or about the transaction itself. In these circumstances we may decide to delay or decline to process the transaction and report it to the regulator if we are concerned that the request or transaction may breach the provisions of the AML/CTF Act or cause us to commit an offence under that Act. If we take this step we will not incur any liability to you.

**CHILDREN UNDER 18 YEARS**

We will not accept investments made directly by persons under 18 years. Investments made by adults on a child's behalf will be accepted; however, it is important to note that the adult(s) is the legal owner of the investment.



**SECTION 7 - PROMOTIONAL MAIL/PRIVACY PROMISE**

**PROMOTIONAL MAIL - PRIVACY PROMISE**

Legg Mason is able to provide you with exclusive offers and innovative products and services that meet your needs. If you consent to Legg Mason using or disclosing your personal information to provide you with information about various products offered by Legg Mason, please tick the appropriate box below.

- Yes, I / we consent to Legg Mason using or disclosing to other Legg Mason, Inc. entities and their service provider, my / our personal information for the purposes of providing information on investments or providing information on investments or products offered by Legg Mason or other Legg Mason, Inc. entities.
- I / we acknowledge that I / we may withdraw my / our consent to the use and disclosure of such personal information for marketing purposes at any time by notice in writing to GPO Box 507, Melbourne Vic 3001.

**SECTION 8 - TAXATION**

Collection of the Tax File Number is authorised and its use and disclosure are strictly regulated by the tax laws and the Privacy Act. It is not an offence to not quote your Tax File Number but tax (at the highest marginal rate plus the Medicare Levy) may be taken out of your distribution if you do not quote your Tax File Number or claim an exemption. For more information about the use of the Tax File Number please phone the Australian Tax Office.

**I AGREE TO PROVIDE MY TAX FILE NUMBER:**                       **YES**     **NO**

**THE APPLICANT'S TAX FILE NUMBER:**

**OR EXEMPTION CATEGORY:**

-  -

Country of Residence (if not Australia for tax purposes): \_\_\_\_\_

**SECTION 9 - AUTHORISED SIGNATORIES**

The Applicant hereby authorises any  (insert number of persons to act e.g. insert "2" if two persons are to act jointly) of the following persons ("Authorised Officer/s") to make written communication or take action on the Applicant's behalf in relation to the Trust investment; and

- agrees that Legg Mason may act upon an instruction where it is reasonable for Legg Mason to assume that the instruction was from an Authorised Officer/s; and
- certifies that the true signature of each Authorised Officer is shown below opposite their name, and that this may be relied upon until it is replaced by another advice bearing a later date or is cancelled.

**NAME**  
.....  
.....

**SPECIMEN SIGNATURE**

**NAME**  
.....  
.....

**SPECIMEN SIGNATURE**

- If the Applicant authorises more than 2 persons to act on its behalf, please forward the full Authorised Signatories list to Legg Mason together with this form.



THIS PAGE HAS BEEN LEFT BLANK INTENTIONALLY.

THIS PAGE HAS BEEN LEFT BLANK INTENTIONALLY.